



# ARC FINANCE LIMITED

Date: 15.04.2019

To,  
The Bombay Stock Exchange Limited,  
PJ Towers, Dalal Street  
Mumbai- 400 001

To,  
The Calcutta Stock Exchange Limited  
7, Lyons Range,  
Kolkata- 700 001

To,  
Compliance Department  
**The U.P. Stock Exchange Limited,**  
Padam Towers,  
14/113, Civil Lines,  
Kanpur - 208001

**Sub: Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half-year ended 31<sup>st</sup> March, 2019**



**Ref.: Script Code: BSE-540135; CSE: 011278**

In compliance with Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith a copy of compliance certificate dated April 09, 2019 issued by Mr. Akhil Agarwal Practicing Company Secretaries for the period from 1<sup>st</sup> October, 2018 to 31<sup>st</sup> March, 2019.

Kindly take the same on your record.

Thanking you.

Yours Faithfully,  
For ARC Finance Limited

  
  
Khushboo Gupta  
Company Secretary  
Membership No.: A45609

Encl: As stated above

**CERTIFICATE UNDER REGULATION 40(9) OF  
SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING  
OBLIGATIONS AND DISCLOSURE REQUIREMENTS)  
REGULATIONS, 2015  
FOR THE HALF YEAR ENDED ON 31<sup>ST</sup> MARCH, 2019**

I have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/S ARC FINANCE LIMITED** maintained by **ABS Consultant Private Limited** pertaining to transfer of equity shares of the company for the period from 01.10.2018 to 31.03.2019 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing obligations and Disclosure Requirements) Regulations, 2015 entered into by, **M/S ARC FINANCE LIMITED** with CSE; BSE & UPSE and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on 31<sup>st</sup> March, 2019

A) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period from 1<sup>st</sup> October, 2018 to 31<sup>st</sup> March, 2019 as entered in the Memorandum of Transfers have been issued within Thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

B) Any other information: Nil

Date: 09/04/2019

Place: Kolkata

  
Akhil Agarwal  
(Practising Company Secretaries)

Membership No.: 35073

C.P.No: 16313